

INDEPENDENT STATE OF SAMOA
Samoan Aviation and Roads Investment
Project (P176272)

DRAFT

ENVIRONMENTAL and SOCIAL
COMMITMENT PLAN (ESCP)

10 January 2022

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Independent State of Samoa (hereinafter the **Recipient**) will implement the Samoa Aviation and Roads Investment Project (the **Project**), with the involvement of the Ministry of Finance, Land Transport Agency (LTA), and Samoan Airport Authority (SAA). These agencies will be supported by the Ministry of Works, Transport and Infrastructure's (MWTI). The International Development Association (hereinafter the Association) has agreed to provide financing for the Project.
2. The Recipient will implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (ESSs). This Environmental and Social Commitment Plan (ESCP) sets out material measures and actions, any specific documents or plans, as well as the timing for each of these.
3. The Recipient is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Agencies referenced in 1. above.
4. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Association by the Recipient as required by the ESCP and the conditions of the legal agreement, and the Association will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
5. As agreed by the Association and the Recipient, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipient, through MOF, will agree to the changes with the Association and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Association and MOH. MOH will promptly disclose the updated ESCP.
6. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE AUTHORITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING:</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of this ESCP, the status of preparation and implementation of environmental and social (E&S) instruments where required, such as; CERC Environmental and Social Management Framework (ESMF), Environmental and Social Impact Assessment (ESIA) and/or Environmental and Social Management Plan (ESMP), Stakeholder Engagement Plan (SEP), Labour Management Procedures (LMP), stakeholder engagement activities and grievances log and functioning of the grievance mechanism(s), contractor's ESHS implementation and any ESHS incidents.</p>	Six-monthly throughout Project implementation.	MOF, LTA and SSA
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Notify the Association of any incident or accident related to the Project, which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public, or workers.</p> <p>Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the Association's request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p>Minor incidents shall be reflected in the six-monthly reports to the Association required to be delivered throughout Project implementation. Major issues and/or severe incidents shall be reported to the Association within 24 hours of their occurrence.</p> <p>A report shall be provided within a timeframe acceptable to the Association, as requested.</p>	MOF, LTA and SSA
C	<p>CONTRACTORS REPORTS</p> <p>a. Require (via inclusion in ESHS specifications for bidding documents) the contractor to notify relevant IA of any incident or accident related to the Project, which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public, or workers.</p> <p>b. Require (via inclusion in ESHS specifications for bidding documents) contractors to provide monitoring reports to the relevant IA</p>	During implementation	MOF, LTA and SSA
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE:</p> <p>MOF will maintain the Central Technical Services and Support Unit with qualified staff and resources to support management of ESHS risks and impacts of the Project including an Environmental and Social Adviser.</p>	Within 30 days of Project effectiveness; throughout project implementation.	MOF, LTA and SSA

MATERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBLE AUTHORITY
<p>MWTI shall maintain the Transport, Infrastructure Sector Coordination Division (TISCD) with qualified staff and resources to support management of ESHS risks and impacts of the Project including a Principal Safeguards Officer.</p> <p>LTA shall ensure qualified staff and resources are retained to support management of ESHS risks and impacts of the Project including a Principal Safeguards Officer and Safeguards Officer.</p> <p>SSA shall ensure SSA staff and resources are allocated to E&S management and will draw upon the support of the MWTI and CTSSU E&S specialists to support ESHS risk management of the Project</p> <p>LTA and SSA shall ensure qualified E&S resources are retained through a supervision consultancy to support management of ESHS risks and impacts during sub-project implementation.</p>		
<p>1.2 ENVIRONMENTAL AND SOCIAL ASSESSMENT/MANAGEMENT PLANS AND INSTRUMENTS</p> <p>a. Adopt and implement the disclosed Environmental and Social Management Framework (ESMF).</p> <p>b. Assess the environmental and social risks and impacts of proposed Project activities, in accordance with the ESMF. Prepare, disclose, adopt, and implement any environmental and social impact assessments (ESIAs) and/or environmental and social management plans (ESMPs) or other instruments required for the respective Project activities based on the assessment process in accordance with the ESSs, the ESMF, the EHSs, and other relevant Good International Industry Practice (GIIP).</p> <p>c. Incorporate the relevant aspects of this ESCP, including, inter alia, any environmental and social management plans or other instruments, ESS2 requirements, and any other required ESHS measures, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts.</p> <p>d. Ensure that TORs and outputs of TA activities under the Project are drafted so that the advice and other support provided is consistent with ESSs 1-10 as relevant and submit such TORs/outputs to the Association for its review and no-objection.</p>	<p>a. Adopted on the Effective Date. Implement throughout project implementation.</p> <p>b. Assessments to be conducted prior to the carrying out of the relevant Project activities.</p> <p>c. Before launching the procurement process for the relevant Project activities, and thereafter throughout the carrying out of such activities.</p> <p>d. Before launching the procurement process for the relevant Project activities, and thereafter throughout the carrying out of such activities.</p>	<p>MOF, LTA and SSA</p>

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE AUTHORITY
1.3	<p>CONTINGENT EMERGENCY RESPONSE FINANCING</p> <p>a. Prepare, disclose, consult, and adopt any E&S instruments which may be required for activities under Component 4 (CERC) of the Project, in accordance with the ESMF, the Emergency Action Plan to be prepared in accordance with the CERC Manual, and the ESSs, and thereafter implement the measures and actions required under said E&S management plans or instruments, within the timeframes specified in said E&S management instruments.</p>	<p>a. The E&S instruments shall be prepared, disclosed, consulted, and thereafter adopted before the carrying out of the relevant Project activities under Component 4 (CERC) of the Project. The E&S instruments shall be implemented in accordance with their terms, throughout the carrying out of the CERC activities.</p>	MOF
1.4	<p>EXCLUSIONS:</p> <p>Exclude the activities listed below as ineligible for financing under the Project:</p> <ul style="list-style-type: none"> • Activities that may cause long term, permanent and/or irreversible (e.g., loss of major natural habitat) adverse impacts to human health and/or the environment. • Activities that may have significant adverse social impacts and may give rise to significant social conflict. • Activities that involve significant resettlement or land acquisition/use restriction • Activities that involve significant adverse impacts on cultural heritage. • Other excluded activities set out in the ESMF of the Project. 	<p>These exclusions shall be applied as part of the E&S screening process conducted under Action 1.2 above.</p>	MOF, LTA and SSA
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT:</p> <p>Adopt and implement the LMP that has been developed for the Project and which includes procedures for:</p> <ul style="list-style-type: none"> • OHS • Fair Treatment and Non-Discrimination • Prevention of Forced Labour and Restrictions on Child Labour • Workers' Grievances 	<p>Adopt on the Effective Date. Implement throughout project implementation.</p>	MOF, LTA and SSA
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish, maintain, operate, monitor, document, update and disclose as required the grievance mechanism for direct and contracted Project workers, as described in the LMP and consistent with ESS2 requirements.</p>		MOF, LTA and SSA

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE AUTHORITY
	Establish proportionate rules, to be included in the ESHS specifications of the bidding or contractual document to ensure that all contracted workers, are briefed or taught by the contractor about (a) their rights to express grievances; (b) where to address a grievance in the first instance; (c) what action they can expect as of right when a grievance is expressed; and (d) that they are adequately protected against sanctions or recriminations.	Establish the Grievance Mechanism before the engagement of project workers and maintain, operate, monitor, document and update as required the Grievance Mechanism throughout Project Implementation.	
2.3	OCCUPATIONAL HEALTH AND SAFETY MEASURES Prepare, adopt, and implement OHS measures including compliance with the World Bank Group Environmental, Health and Safety Guidelines (EHSGs), GIIP and national legislation for all Project activities. All vehicles used for Project activities shall comply with safety standard and be accompanied by safety equipment and training according to the national requirements.	Before the carrying out the relevant Project activities, and thereafter throughout the carrying out of such activities in the form of ESIA/ESMPs and CESMPs.	MOF, LTA and SSA
2.4	OCCUPATIONAL HEALTH AND SAFETY MEASURES FOR DIRECT WORKERS Update, adopt, and implement the OHS measures for the direct workers as outlined in the LMP.	Within six months after the Effective Date (allowing time for the OHS measures to reflect concerns of Project staff) and thereafter throughout the carrying out of the Project activities.	MOF, LTA and SSA
2.5	OCCUPATIONAL HEALTH AND SAFETY MEASURES FOR CONTRACTED WORKERS Update, adopt, and implement OHS measures for contracted workers in the LMP through the ESHS Specifications to be included in the contract documentation.	ESHS specifications to be included in all contract documents for works and services entered into during the Project implementation period.	MOF, LTA and SSA
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	POLLUTION PREVENTION AND MANAGEMENT Implement pollution prevention and management measures specified in the ESMF and relevant sub-project instruments.	Throughout Project implementation.	MOF, LTA and SSA
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: Include measures to manage wastes and other types of hazardous and non-hazardous wastes including compliance with EHSGs, GIIP and local legislation as described in the ESIA/ESMPs and CESMPs	Throughout Project implementation.	MOF, LTA and SSA
ESS 4: COMMUNITY HEALTH AND SAFETY			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE AUTHORITY
4.1	TRAFFIC AND ROAD SAFETY: Adopt and implement measures and actions to assess and manage traffic and road safety risks for civil works activities as described in the ESIAs/ESMPs or ESCOP and to be further updated for sites in the CESMPs.	Throughout Project implementation.	MOF, LTA and SSA
4.2	COMMUNITY HEALTH AND SAFETY: Prepare, adopt, and implement measures and actions to assess and manage specific risks and impacts to the community arising from the Project activities and include these measures into the ESIA/ESMP, CESMPs and ESCOPs in a manner acceptable to the Association.	Throughout Project implementation.	MOF, LTA and SSA
4.5	Sexual Exploitation and Abuse / Sexual Harassment Adopt, and implement the disclosed SEA/SH Action Plan to assess and manage the risks associated with the project.	Adopted on the Effective Date. Implement throughout project implementation.	MOF, LTA and SSA
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE, AND INVOLUNTARY RESETTLEMENT			
5.1	RESETTLEMENT PLANS: a. Prepare, adopt, and implement resettlement plans (RPs) in accordance with ESS 5 and consistent with the requirements of the Resettlement Framework (RF) that has been prepared for the Project; and thereafter b. Implement the respective RPs before carrying out the associated activities, in a manner acceptable to the Association.	a. Before the carrying out the relevant Project activities b. Throughout Project implementation.	MOF, LTA and SSA
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	Adopt and implement the ESMP or other instruments required for the respective Project activities based on the environmental and social screening or assessment process, to minimise biodiversity impacts associated with land clearing and other construction activities	Throughout Project implementation.	MOF, LTA and SSA
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
NOT RELEVANT			
ESS 8: CULTURAL HERITAGE			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE AUTHORITY
8.1	<p>CHANCE FINDS:</p> <p>Adopt, and implement the chance finds procedure described in the ESMP developed for the Project. Chance finds procedure to be included in ESHS specification for works contracts, CESMPs and ESCOPs.</p>	Throughout Project implementation.	MOF, LTA and SSA
ESS 9: FINANCIAL INTERMEDIARIES			
NOT RELEVANT			
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN:</p> <p>Update, disclose, adopt, and implement the project's SEP consistent with ESS10, in a manner acceptable to the Association.</p>	Adopt on the Effective Date. Implement throughout project implementation.	MOF, LTA and SSA
10.2	<p>GRIEVANCE REDRESS MECHANISM:</p> <p>Establish, maintain, operate, publicize, monitor, document and update as required the GRM as described in the SEP.</p>	Establish and publicize prior to the commencement of Project activities, and operate, maintain, monitor, document and update as required throughout Project Implementation.	MOF, LTA and SSA
CAPACITY SUPPORT (TRAINING)			
CS1	<p>CAPACITY BUILDING PLAN</p> <p>Prepare and implement a capacity building plan for E&S risk management with the following outcomes:</p> <ul style="list-style-type: none"> • All project staff familiar with all E&S instruments • Grievance mechanism established and operating effectively • E&S, risk management measures, integrated into Project activity designs • E&S risk management measures integrated into bidding and contracting documents • Contractors and suppliers understand and can implement their E&S obligations Improved environmental health, safety, and sanitation to the project staff and beneficiaries 	Prepare within 60 days of the effectiveness date. Implement throughout Project implementation.	MOF, LTA and SSA

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE AUTHORITY
CS2	Provide targeted training to contractors, suppliers, and project beneficiaries before site-specific Project activities and throughout Project implementation as needed, including but not limited to management of E&S risks, labour management and OH&S, traffic safety, community health and safety and grievance mechanisms.	Throughout Project implementation	MOF, LTA and SSA